



Atty. Dkt. No. 081356-0163

sector #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroyuki Mizuguchi et al.

Title: ADENOVIRUS VECTOR

Appl. No.: 09/845,160

Filing Date: 05/01/2001

Examiner: Unassigned

Art Unit: Unassigned

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on 06/26/2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ [X] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- ☒ [X] An English language translation of the application and Certification thereof in accordance with 37 CFR 1.52(d);
- ☒ [X] Substitute drawings (6 sheets, Figs. 1A-6) in accordance with 37 CFR 1.84(p)(2) and 1.52(d)(1);
- ☒ [X] Certified copy of Japanese Patent Application No. 2001-131688 dated April 27, 2001;
- ☒ [X] An Amendment In Response To Notice to Comply with Requirements for Sequence Listing directing its entry into the specification.
- ☒ [X] A statement that the content of the substitute paper and CRF are identical and, where applicable, include no new matter.
- ☒ [X] A paper copy of the Sequence Listing.
- ☒ [X] A computer readable form (CRF) copy of the Sequence Listing

[X] The fee amount of \$130.00 in payment of surcharge fee set forth in 37 C.F.R. § 1.17(i) was included in the initial filing fees, therefore no fees are due.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 27 August 2007

By Stephen A. Bent

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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/845,160 | 05/01/2001 | Hiroyuki Mizuguchi | 081356/0163 |

CONFIRMATION NO. 2644

FORMALITIES LETTER



OC000000006228996

Stephen A. Bent
FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, DC 20007-5109

Date Mailed: 06/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d))
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d))
- **The balance due by applicant is \$ 130.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Atty. Dkt. No. 081356-0163

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroyuki Mizuguchi et al.

Title: ADENOVIRUS VECTOR

Appl. No.: 09/845,160

Filing Date: 05/01/2001

Examiner: Unassigned

Art Unit: Unassigned

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

ATTENTION: DRAWING REVIEW BRANCH

Sir:

In response to the requirement that substitute drawings be submitted, transmitted herewith are the formal drawings (6 sheets, Figures 1A-6B) for the above-identified application, which now comply with the margin requirements set forth in 37 CFR 1.84(g) and drawing figures containing English language text in accordance with 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1). The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date 27 August 2001

By

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#3

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Hiroyuki MIZUGUCHI et al.

SERIAL NO:

FILED: May 1, 2001

FOR: ADENOVIRUS VECTOR

CERTIFICATION OF TRANSLATION

HONORABLE COMMISSIONER OF PATENTS & TRADEMARKS

WASHINGTON, D.C. 20231

SIR:

Junko ONO, a translator hereby states:

- (1) that I am fluent in both the Japanese and English languages;
- (2) that I translated the attached document identified as corresponding to U.S.

Application No. _____ filed in the United States on May 1, 2001 from Japanese into
ENGLISH;

(3) that the attached English translation is a true and correct translation of the document
attached thereto to the best of my knowledge and belief; and

(4) that all statements made of my own knowledge are true and that all statements made
on information and belief are believed to be true, and further that these statements are made with
the knowledge that willful false statements and the like are punishable by fine or imprisonment,
or both under 18 USC 1001, and that such false statements may jeopardize the validity of the
application or any patent issuing thereon.

DATE: June 29, 2001BY: Junko Ono